

# In Vivo Assessment of Retinal Phenotypes in Axenfeld–Rieger Syndrome

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**PURPOSE.** Axenfeld–Rieger syndrome (ARS) is characterized by ocular anomalies including posterior embryotoxon, iridocorneal adhesions, corectopia/iris hypoplasia, and developmental glaucoma. Although anterior segment defects and glaucoma contribute to decreased visual acuity, the role of potential posterior segment abnormalities has not been explored. We used high-resolution retinal imaging to test the hypothesis that individuals with ARS have posterior segment pathology.

**METHODS.** Three individuals with *FOXC1*-ARS and 10 with *PITX2*-ARS completed slit-lamp and fundus photography, optical coherence tomography (OCT), OCT angiography, and adaptive optics scanning light ophthalmoscopy (AOSLO). Quantitative metrics were compared to previously published values for individuals with normal vision.

**RESULTS.** All individuals demonstrated typical anterior segment phenotypes. Average ganglion cell and inner plexiform layer thickness was lower in *PITX2*-ARS, consistent with the glaucoma history in this group. A novel phenotype of foveal hypoplasia was noted in 40% of individuals with *PITX2*-ARS (but none with *FOXC1*-ARS). Moreover, the depth and volume of the foveal pit were significantly lower in *PITX2*-ARS compared to normal controls, even excluding individuals with foveal hypoplasia. Analysis of known foveal hypoplasia genes failed to identify an alternative explanation. Foveal cone density was decreased in one individual with foveal hypoplasia and normal in six without foveal hypoplasia. Two individuals (one from each group) demonstrated non-foveal retinal irregularities with regions of photoreceptor anomalies on OCT and AOSLO.

**CONCLUSIONS.** These findings implicate *PITX2* in the development of the posterior segment, particularly the fovea, in humans. The identified posterior segment phenotypes may contribute to visual acuity deficits in individuals with *PITX2*-ARS.

**Keywords:** Axenfeld–Rieger syndrome, *PITX2*, *FOXC1*, foveal hypoplasia, posterior segment

Ax enfeld–Rieger syndrome (ARS) is characterized by anterior segment ocular anomalies with variable other systemic features.<sup>1</sup> Approximately 71% of ARS is explained by pathogenic variants in either *PITX2* (41%) or *FOXC1* (30%), which result in overlapping ocular phenotypes but distinct patterns of additional anomalies.<sup>1</sup> Typical ocular anomalies include posterior embryotoxon, iridocorneal adhesions, and corectopia or iris hypoplasia, with other anterior segment diagnoses reported in a subset of affected individuals including aniridia, Peters anomaly/corneal opacities, and primary congenital glaucoma.<sup>1</sup> Both *PITX2*-ARS and *FOXC1*-ARS are associated with an increased risk of developmental glaucoma (56%–72%), with an earlier age of onset more likely in *FOXC1*-ARS.<sup>1,2</sup> In most situations, glaucoma is associated with increased intraocular pressure; however, several cases of normal-tension glaucoma have also

been reported.<sup>2–4</sup> Visual acuity is highly variable, but low vision is common; one review identified average ( $\pm$  SD) best-corrected visual acuity (BCVA) as  $0.58 \pm 0.91$  logMAR and  $0.95 \pm 1.00$  logMAR for *FOXC1*-ARS and *PITX2*-ARS, respectively.<sup>5</sup> Although corneal opacities, early-onset glaucoma, and severe corectopia all contribute to lowered visual acuity, additional unidentified factors in the posterior segment may also play a role.

Animal models suggest a role for these genes in posterior segment development with a reduced posterior vitreous chamber noted in *pitx2*-deficient zebrafish<sup>6</sup> and hyaloid vasculature anomalies seen in both *pitx2*- and *foxc1a*-deficient zebrafish.<sup>7,8</sup> Posterior segment anomalies have been occasionally reported in humans, including persistent fetal vasculature,<sup>9</sup> macular retinoschisis,<sup>5</sup> and absent foveal pit<sup>10</sup> in one individual each with *PITX2*-ARS;



persistent fetal vasculature<sup>11</sup> and dysplastic optic nerves with peripapillary chorioretinal atrophy<sup>12</sup> in one individual each with *FOXC1*-ARS; and optic nerve/retinal colobomas in three cases with 6p25 deletions (involving other genes in addition to *FOXC1*).<sup>1,13,14</sup> An overlapping phenotype of typical aniridia, caused by variants in *PAX6* and characterized by a variable degree of iris hypoplasia, includes malformations in multiple additional ocular tissues, with foveal hypoplasia identified in up to 98.5% of cases and considered to be one of the differentiating features of that phenotype.<sup>15,16</sup> Characterization of the posterior segment has not been systematically explored in individuals with ARS; yet, those additional features, if present, may contribute to reduced visual acuity in affected individuals. Moreover, this knowledge will advance our understanding of the roles of the *FOXC1* and *PITX2* genes in human ocular development. Here, we used high-resolution retinal imaging to test the hypothesis that individuals with *PITX2*-ARS and *FOXC1*-ARS have posterior segment pathology.

## METHODS

### Individual Demographics

This human study was approved by the Institutional Review Boards of Children’s Wisconsin and Medical College of Wisconsin, and written informed consent was obtained from every participant or legal guardian, as appropriate. Forty individuals with a genetic diagnosis of ARS met the inclusion criteria (older than 7 years of age, with sufficient corneal clearance in at least one eye), including 22 individuals with *FOXC1* variants (age range, 7–61 years; mean  $\pm$  SD, 27.7  $\pm$  16.4 years) and 18 individuals with *PITX2* variants (age range, 8–67 years; mean  $\pm$  SD, 34.2  $\pm$  17.8 years). We successfully imaged 13 White individuals (eight females and five males; age range, 12–52 years; mean  $\pm$  SD, 27.2  $\pm$  14.3 years) (see Supplementary Note). Imaging included fundus photography, slit-lamp photography, optical coherence tomography (OCT), OCT angiography (OCTA), and adaptive optics scanning light ophthalmoscopy (AOSLO). Axial length measurements were obtained using a partial-coherence interferometer (IOLMaster; Carl Zeiss Meditec, Dublin, CA, USA). Clinical ophthalmology records from the treating provider were reviewed for documentation of structural anomalies, diagnosis of glaucoma, and BCVA.

Genetic screening was completed by exome or genome sequencing in all participants as previously described<sup>1,17</sup> and analyzed using VarSeq (Golden Helix, Bozeman, MT, USA). MANE transcripts were used for variant nomenclature (NM\_001453.3 for *FOXC1* and NM\_000325.6 for *PITX2*; of note, most prior literature reports use NM\_153427.2 for *PITX2*). Nine individuals had genetic and limited clinical data previously reported.<sup>1,6,17,18</sup> The data was also examined for pathogenic variants in several genes associated with foveal hypoplasia: *SLC38A8*, *TYR*, *OCA2*, *ATF6*, and *PAX6*. Because abnormal foveal morphology has also been reported with homozygosity for the *TYR* hypomorphic alleles p.R402Q and p.S192Y,<sup>19,20</sup> genotypes for these common alleles were reviewed for all individuals.

### Qualitative Analysis of Fundus Characteristics

Fundus photographs were acquired for each individual using VISUCAM (Carl Zeiss Meditec). These images were reviewed by a pediatric ophthalmologist (DMC), and notable

characteristics were described, including optic nerve pallor, atrophy in optic nerve margins, and appearance of the vessels and macula. It was noted when image quality or clarity inhibited the ability to extract notable characteristics from the images.

### Optical Coherence Tomography

The CIRRUS HD-OCT (Carl Zeiss Meditec) was used to image a nominal 6  $\times$  6-mm retinal area (128 B-scans and 512 A-scans per B-scan). Additional line scans were acquired through the foveal region to ensure that the foveal center was captured. Foveal hypoplasia was graded from the corresponding OCT images by determining whether one or more inner retinal layers (outer plexiform layer, inner nuclear layer, inner plexiform layer, or ganglion cell layer) persisted through the fovea.<sup>21</sup> Retinal ganglion cell thinning was evaluated from CIRRUS ganglion cell analysis, where the average sum of the ganglion cell layer and inner plexiform layer (GCL/IPL) was approximated based on horizontal B-scans and compared to normative data. The analysis was used to describe the distribution of ganglion cell thinning in relationship to the location of the fovea. Because the retinal ganglion cell thickness was often asymmetric between eyes, all eyes were included in the statistical analysis.

Quantitative analysis of foveal pit characteristics was acquired from CIRRUS macular cubes to describe foveal pit depth (mm) and volume (mm<sup>3</sup>). Mathematical modeling was used to calculate foveal pit metrics for each individual by applying an algorithm based on the difference of Gaussian functions to approximate the foveal pit contour.<sup>22</sup> As a brief overview, retinal pigment epithelium and inner limiting membrane thickness data were extracted from the CIRRUS software using the Advanced Export feature as a .dat file and imported into the algorithm. This data was segmented into radial slices spaced 1° apart through the fovea center, which was determined using the FoveaFinder algorithm for the CIRRUS software. The radial slices were fit to a difference in Gaussian functions model and used to measure foveal metrics (depth and volume). The foveal pit metrics were compared to values from a subset of previously published<sup>19</sup> data from 137 individuals with no vision-limiting pathology (83 females and 54 males; age range, 9–70 years; mean  $\pm$  SD, 31.03  $\pm$  12.9 years). Based on previously reported ethnic differences in foveal pit morphology,<sup>23,24</sup> we compared our data to an ethnicity-matched subset of this normative cohort.

### OCT Angiography

OCTA was attempted on all 13 individuals. Imaging was not successful on one individual with *PITX2*-ARS and one individual with *FOXC1*-ARS. Single OCTA images were acquired for three individuals and multiple (two to five) OCTA images were acquired for eight individuals. The nominal scan size was 3  $\times$  3 mm, and for each angiogram two volumes consisting of 304 B-scans and 304 A-scans per B-scan were acquired; one volume had a horizontal fast scanning axis and the other volume had a vertical fast scanning axis. The two volumes were registered (AngioVue 2018.1.0.43) to create a single angiogram volume. For each volume, the full thickness slab (inner limiting membrane to 9  $\mu$ m above the outer plexiform layer) was extracted. For the eight individuals with multiple angiogram images, their images were registered in ImageJ (National Institutes of Health, Bethesda, MD, USA) using StackReg and averaged using the Z Project tool in ImageJ.

Using the ImageJ Point Tool,<sup>25</sup> a single observer (author SZ) segmented the foveal avascular zone (FAZ) in each angiogram image. The segmentation coordinates were entered into a custom MATLAB (MathWorks, Natick, MA, USA) script, which has been previously described.<sup>26,27</sup> The area of the FAZ (or the largest avascular area if the FAZ was fragmented) was computed in pixels using the polyarea function in MATLAB. This was converted to square millimeters using the nominal image scale (9.87  $\mu\text{m}/\text{pixel}$ ) and the ratio of the participant's measured axial length to the axial length assumed by the device (23.95 mm).<sup>28</sup> The FAZ area data in our individuals were compared to values from a subset of previously published<sup>19</sup> data from 133 individuals with no vision-limiting pathology (81 females and 52 males; age range, 9–70; mean  $\pm$  SD, 30.86  $\pm$  12.6 years). Again, based on previously reported ethnic differences in FAZ size,<sup>29,30</sup> we compared our data to an ethnicity-matched subset of this normative cohort.

### AOSLO Retinal Imaging

AOSLO imaging was attempted on one eye for all individuals using a previously described device.<sup>31</sup> Dilation drops were applied prior to imaging, and AOSLO videos were acquired on one of two devices (using an imaging wavelength of either 790 or 775 nm). During imaging, the participant's head was stabilized using a dental impression on a bite bar. Individual videos subtended either  $1^\circ \times 1^\circ$  or  $1.5^\circ \times 1.5^\circ$  field of view. Image sequences (videos) consisting of 150 to 200 frames were recorded at different locations using an internal fixation target (consisting of a crosshair projected onto a dichroic for the individual to view) to guide fixation.<sup>32</sup> The raw frames from each video were corrected for sinusoidal distortions as previously described.<sup>33</sup> Following this, a reference frame was automatically selected<sup>34</sup> for registration of 50 to 80 frames and averaged to produce an image with improved signal-to-noise ratio.<sup>33</sup> For each eye, the processed AOSLO images were semi-automatically montaged using a multimodal montaging algorithm that rescaled images from different fields of view to a common scale.<sup>35</sup> Alignment was checked and manual correction was performed where needed using Photoshop CS6 (Adobe Systems, San Jose, CA, USA). The location of peak cone density (referred to here as the foveal center) was manually identified by marking the locus of the globally most tightly packed cones. This location was used as the anchor to define retinal eccentricity for subsequent analyses.

Regions of interest (ROIs) were extracted from each montage using Mosaic (Translational Imaging Innovations, Cary, NC, USA). ROIs were extracted at the foveal center and at  $0.3^\circ$ ,  $0.6^\circ$ ,  $0.9^\circ$ ,  $1.2^\circ$ ,  $1.5^\circ$ ,  $1.8^\circ$ ,  $2.1^\circ$ ,  $3.0^\circ$ ,  $4.0^\circ$ ,  $5.0^\circ$ ,  $6.0^\circ$ ,  $7.0^\circ$ ,  $8.0^\circ$ ,  $9.0^\circ$ ,  $10.0^\circ$ ,  $11.0^\circ$ , and  $12.0^\circ$  in the temporal and superior directions. The size of each ROI was  $0.3^\circ \times 0.3^\circ$  at locations less than  $2.1^\circ$  eccentricity from the fovea and  $0.4^\circ \times 0.4^\circ$  at locations  $2.1^\circ$  and greater eccentricity from the fovea. If a montage was incomplete or did not extend sufficiently to include all intended ROI locations, only ROIs with underlying AOSLO images were extracted. ROIs were then assessed by a single grader (author JC) in a masked fashion. If the image quality was deemed insufficient to reliably identify cone structures, the ROI was not used for the analysis. In the end, we used between four and 28 ROIs (average, 11.4 ROIs) per individual. Cones were semi-automatically identified using Mosaic, and metrics (density and intercone distance) were computed as previously

described.<sup>36</sup> The lateral scale of the AOSLO images for a given individual ( $S'_{R(x)}$ ;  $\mu\text{m}/\text{pixel}$ ) was estimated by using the following equation:

$$S'_{R(x)} = \frac{T}{f_l T_s} \left( \frac{180}{\pi} \right) RMF \left( \frac{L_A}{L_{A,0}} \right)$$

where  $T$  represents the periodicity of a Ronchi ruling ( $\mu\text{m}/\text{cycles}$ ),  $f_l$  represents the focal length of the model eye in our system ( $\mu\text{m}$ ),  $T_s$  represents the sampling period of the lines in the model eye image of the Ronchi ruling (pixels/cycle),  $RMF$  represents the assumed retinal magnification factor (291  $\mu\text{m}/^\circ$ ) of an eye with a 24.0-mm axial length (represented by  $L_{A,0}$ ), and  $L_A$  represents the actual axial length of the individual eye in millimeters. This scale was used to convert raw metrics in pixels to either millimeters or micrometers. Data were compared to values from 19 individuals with no known vision pathology, taken from a previously published study.<sup>36</sup>

### Statistical Analyses

All statistical analyses were performed in Prism 10 (GraphPad, Boston, MA, USA). A Shapiro–Wilk test was used to assess the normality of each dataset and guide the choice of parametric or non-parametric test.

## RESULTS

### Genotype and Systemic Phenotypes

Twenty-two individuals diagnosed with *FOXC1*-ARS and 18 individuals with *PITX2*-ARS qualified for the study; three of the 22 individuals with *FOXC1*-ARS (14%) and 10 of the 18 individuals with *PITX2*-ARS (55%) completed the imaging procedures. The higher rate of participation by individuals with *PITX2*-ARS may be due to the more complex ocular presentation and syndromic features observed in individuals with *FOXC1*-ARS (or their children) that made travel and overall participation more challenging; additionally, the average age of individuals with *FOXC1* was younger, so more individuals approached had young children at home, making travel difficult. The majority of individuals had *FOXC1* or *PITX2* variants identified via their prior participation in our genetic studies with all *FOXC1* variants<sup>1,18</sup> and seven out of 10 *PITX2* alleles<sup>1,6,17</sup> previously reported. Three *PITX2* alleles were novel (Supplementary Table S1).

The *FOXC1*-ARS group consisted of individual 1 with a deletion of *FOXC1* and individuals 2 and 3 (siblings) with a frameshift allele leading to premature truncation of *FOXC1*, removing a part of the DNA-binding domain and C-terminal region. Both variants are predicted to result in loss-of-function alleles and are typical for the *FOXC1*-ARS-associated spectrum (Supplementary Table S1). Two individuals had a clinical diagnosis of glaucoma with elevated intraocular pressure in both. Systemic anomalies were present in all three individuals and included commonly observed features such as skeletal, cardiac, dental, and hearing defects.<sup>1</sup>

The *PITX2*-ARS group consisted of 10 individuals from nine families. The variants included premature truncations, missense variants within the homeodomain region of *PITX2*, and a complex rearrangement affecting the coding and regulatory regions of the gene; all variants are predicted

TABLE. Summary of Anterior and Posterior Segment Features in Individuals With ARS

Individual <sup>†</sup>	Age (y); Sex	Glaucoma <sup>†</sup>	Fundus			Foveal Pit			BCVA (OD; OS) <sup>‡</sup>		
			Slit Lamp <sup>‡</sup>	Optic Disc	Other	Average GCL/IPL (OD; OS) (µm) <sup>‡</sup>	Depth (mm)	Volume (mm <sup>3</sup> )		FAZ Metrics (mm <sup>2</sup> )	Axial Length (OD; OS) (mm) <sup>‡</sup>
<b>FOXCI-ARS</b>											
1 <sup>1</sup>	12; F	N	PE, ICA, mild CO, mild IH	WNL (OU)	Limited	82; 89	0.1142	0.11085	NA	22.71; 21.33	20/50; 20/70
2 <sup>18</sup>	17; M	Y, 13d, SX	PE, mild ICA	WNL (OD), PPA	Lacquer cracks (OD)	58 <sup>§</sup> ; 66 <sup>§</sup>	0.0683	0.03475	0.091 <sup>*</sup>	23.39; 23.36	20/25; 20/40
3 <sup>18</sup>	14; F	Y, 12d, DO	PE, mild IH, Haab's striae	WNL (OD)	NA	74; 75	0.10455	0.06955	0.283	24.17; 24.34	20/30; 20/70
<b>PITX2-ARS</b>											
4 <sup>6</sup>	27; M	Y, 7/14y, SX	PE, ICA, CT, IH, corneal neovascularization (OS)	Large C/D (0.8) (OS)	Limited	NA; 60 <sup>§</sup>	0.0538 <sup>*</sup>	0.0251 <sup>*</sup>	NA	NA; 26.40	NLP; 20/40
5 <sup>1</sup>	38; M	Y, 20s, DO/SX	PE, ICA, severe IH/PC, CT	WNL (OS)	Subretinal deposits	NA; 41 <sup>§</sup>	0.0656	0.0478	0.413	NA; 25.18	20/200; 20/63
6	14; M	Y, 13y, SX/DO	PE, CT, severe IH (OS)	WNL (OS)	NA	40 <sup>§</sup> ; 58 <sup>§</sup>	0.0323 <sup>*</sup>	0.02095 <sup>*</sup>	0.05 <sup>*</sup>	28.69; 27.15	20/150; 20/40
7 <sup>1</sup>	52; F	Y, 45y, DO	PE, ICA, mild IH (OD); severe CT (OS)	WNL (OD)	NA	76; 80	0.10285	0.058	0.321	24.24; 23.41	20/20; CF
8 <sup>1</sup>	18; F	Y, 10y, DO/SX	PE, mild IH (OD); AN (OS)	WNL (OD)	Limited	64 <sup>§</sup> ; NA	0.0046 <sup>*</sup>	0.0189 <sup>*</sup>	0.098 <sup>*</sup>	26.56; NA	20/60; 20/600
9	45; F	Y, 8y	PE, severe IH/PC, CT (OD); mild IH (OS)	WNL (OS)	NA	57 <sup>§</sup> ; 79	0.0602	0.03035	0.168	25.93; 26.42	20/250; 20/25
10	44; F	Y, 30s, DO	PE, ICA, severe IH/CT	WNL (OS)	Darkly pigmented fundus	79; 72	0.0951	0.06325	0.364	Failed	NA
11 <sup>1</sup>	22; F	Y, 4–5y, SX	PE, severe CT, mild IH (OD); severe IH, lens opacity (OS)	WNL (OD)	Limited	72; NA	0.1112	0.0902	0.578	Failed; failed	20/200; 20/200
12	13; M	Y, 11y, DO	PE, severe CT, IH/PC (OD); mild IH, CT (OS)	WNL (OS)	NA	86; 85	0.0322	0.04705	0.168	Failed; 25.48	20/80; 20/40
13 <sup>17</sup>	38; F	Y, unknown, SX/DO	PE, mild IH; PC (OD); CT (OS)	Cupping (OD > OS), PPA	Pigmented fundus/ WNL vasculature	64 <sup>§</sup> ; 81	0.05495 <sup>*</sup>	0.04645 <sup>*</sup>	0.099 <sup>*</sup>	27.10; failed	20/25; 20/40

AN, aniridia; C/D, cup-to-disc ratio; CF, counting fingers; CO, corneal opacity; CT, corectopia; DO, drops only; F, female; ICA, iridocorneal adhesions; IH, iris hypoplasia; M, male; N, no; NA, not available; NLP, no light perception; OD, right eye; OS, left eye; OU, both eyes; PC, polycoria; PE, posterior embryotoxon; PPA, peripapillary atrophy; SX, surgery; WNL, within normal limits; Y, yes.

<sup>\*</sup> Individual number; previous papers reporting corresponding individuals are indicated by reference number in superscript.

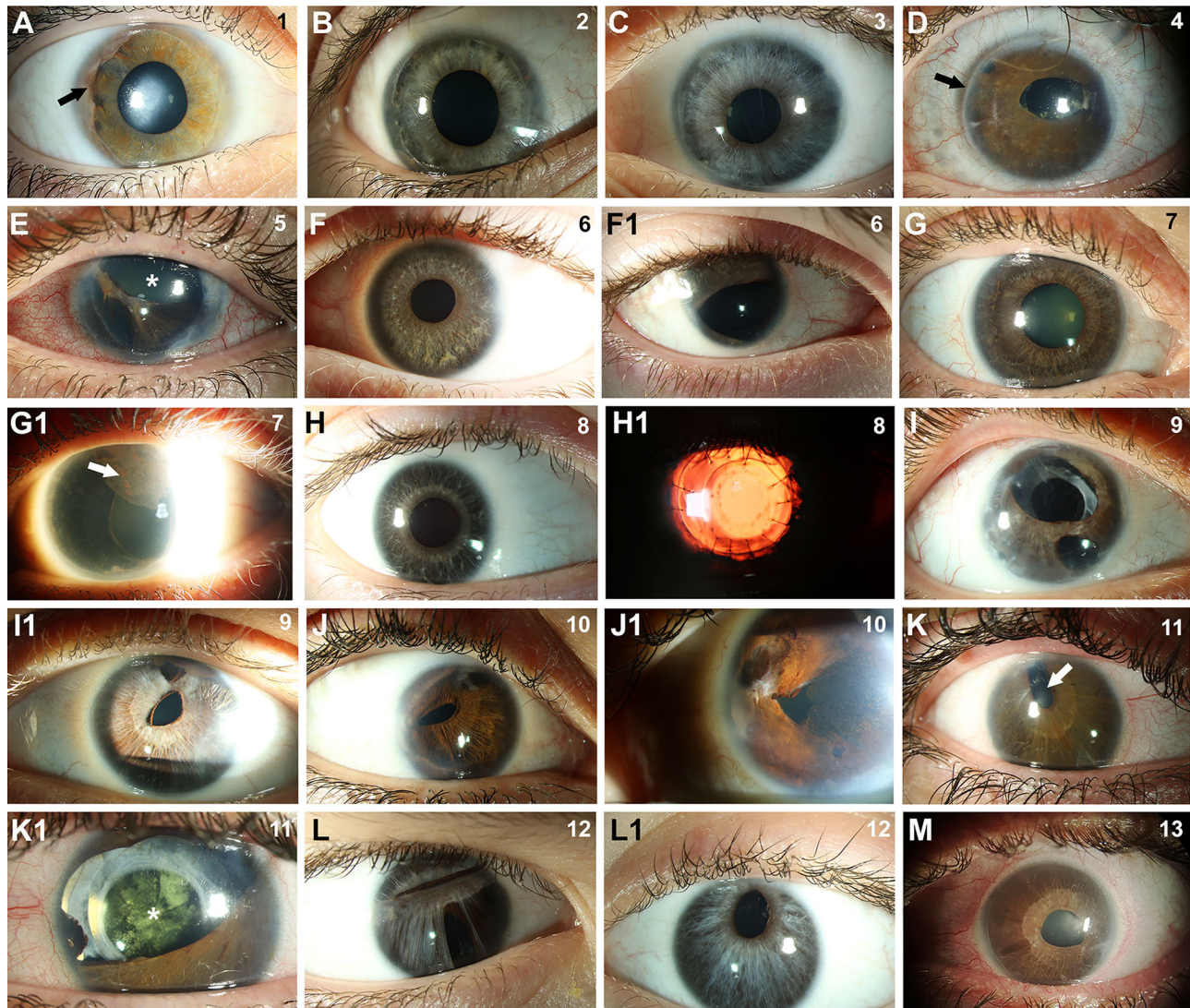
<sup>†</sup> Glaucoma column shows the age of diagnosis and treatment, if it differed between eyes (OD/OS).

<sup>‡</sup> OU unless specified.

<sup>§</sup> Indicates eyes with abnormal GCL/IPL thickness as assessed using a Z-score analysis.

<sup>\*</sup> Grade 1b foveal hypoplasia and/or fragmented FAZ.





**FIGURE 1.** Anterior segment images illustrating features of ARS in imaged individuals. (A–M) Slit-lamp images demonstrating typical ARS features in all individuals, including iridocorneal adhesions (*arrow* in A), posterior embryotoxon (*arrow* in D), iris hypoplasia (*asterisk* in E), and corectopia (*arrow* in K). Pigment deposits in the cornea (*arrow* in G1) and lens opacity (*asterisk* in K1) were also noted in some individuals. Individual number is indicated in the *upper right corner* of each image. Both eyes are shown for individuals with asymmetric iris phenotypes. Surgical history includes glaucoma surgery in the eyes pictured in B, D, E, F1, H1, K, and K1 and cataract surgery as well as corneal transplant in H1 and I.

to result in loss-of-function alleles and are typical for the *PITX2*-ARS-associated spectrum (Supplementary Table S1). All individuals had a clinical diagnosis of glaucoma with elevated intraocular pressure noted in all with records available. Systemic anomalies were present in all individuals and consisted of characteristic features for *PITX2*-ARS<sup>1</sup> including microdontia/hypodontia/oligodontia, redundant periumbilical skin, umbilical hernia, and Meckel diverticulum.

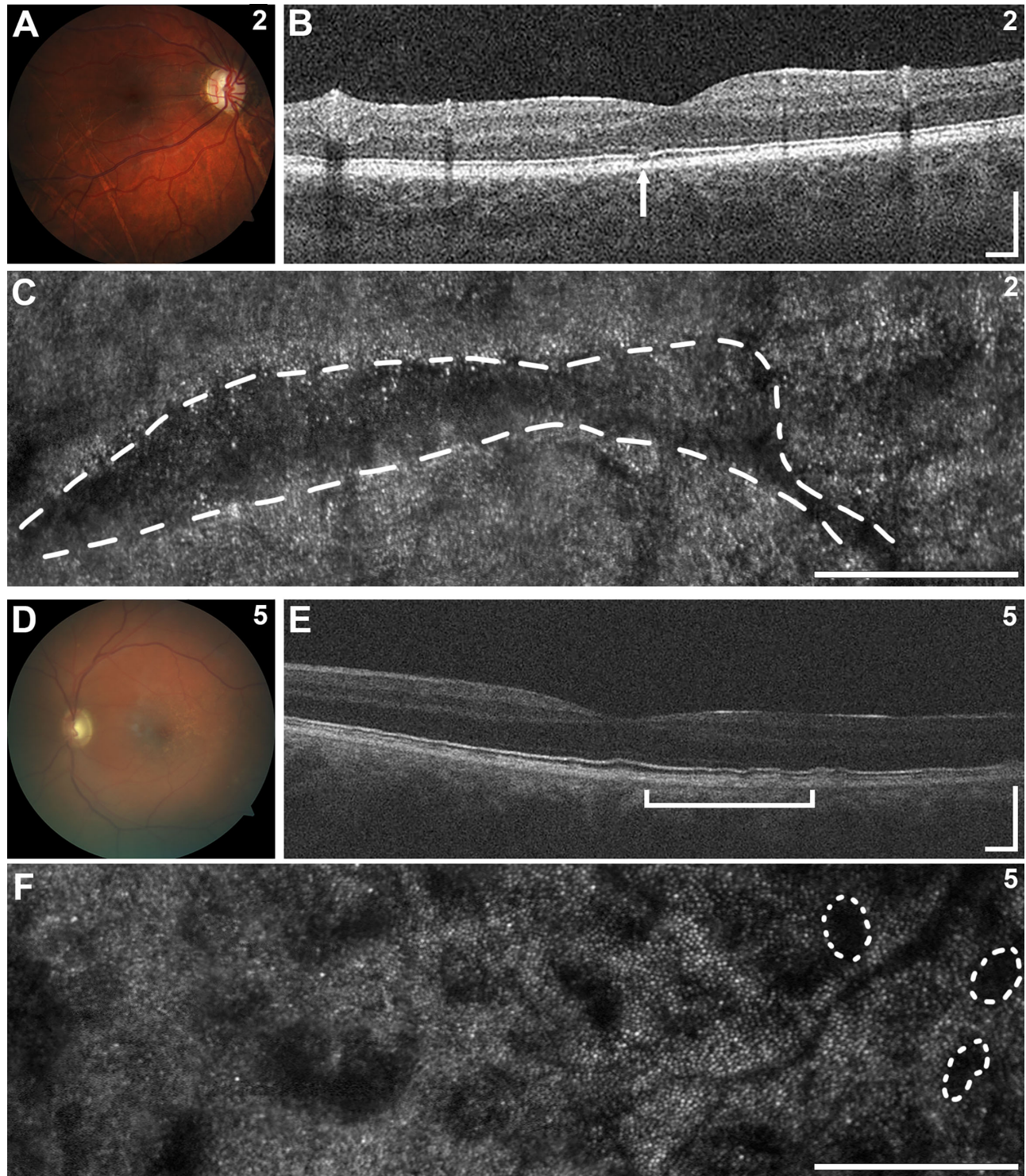
### Ocular Phenotype

Slit-lamp evaluation in individuals with *FOXC1*-ARS revealed the typical ocular features with posterior embryotoxon in all three, iridocorneal adhesions in two, and subtle iris hypoplasia in the other (Table, Figs. 1A–1C). Corneal phenotypes included mild corneal haze and Haab's striae in one individual each. Fundus photos were reviewed for all participants,

and the optic disc was grossly normal in all three (Table). Additional anomalies identified in the retina included an unusual pattern of diffuse, linear streaks across the retina (lacquer cracks) and peripapillary atrophy in individual 2 (Fig. 2A); OCT evaluation showed the linear deformities occurring at the inner and outer segment junction in the OCT B-scan (Fig. 2B). The fundus image for individual 1 was blurred and unable to be assessed outside the optic disc.

For individuals with *PITX2*-ARS, slit-lamp evaluation revealed the typical ocular features with posterior embryotoxon and variable degrees of iridocorneal adhesions and iris hypoplasia (Table, Figs. 1D–1M) in all individuals. The majority of individuals had severe iris phenotypes in at least one eye, including significant corectopia in eight, severe iris hypoplasia with polycoria in six, and absent iris in one. Striking asymmetry of the iris phenotype between the two eyes with a relatively intact iris in one eye was noted in six of



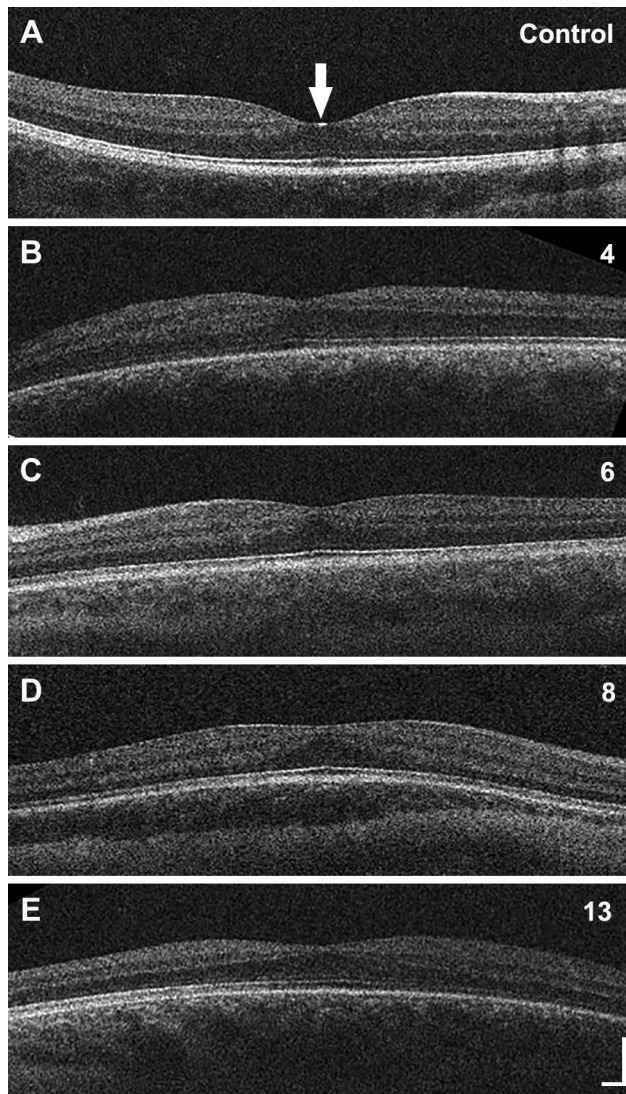


**FIGURE 2.** Posterior segment images of selected individuals. (A–F) In individual 2, fundus photography (A) demonstrated peripapillary atrophy and linear streaks across the retina with corresponding focal disruptions of the ellipsoid zone band on OCT (B, *arrow*) and linear regions of abnormal photoreceptor pattern by AOSLO (C, *dashed line*). In individual 5, fundus photography (D) revealed subretinal deposits along the fovea with irregularities in the inner and outer segment junction on OCT (E, *bracket*) and patchy regions of abnormal photoreceptor pattern on AOSLO with several outlined with *dashed lines* (F). Scale bars: 250  $\mu\text{m}$ .

the nine individuals with both eyes imaged (one individual had prior enucleation of one eye) (Figs. 1F–1I, 1K, 1L) and has been reported previously for *PITX2*.<sup>37</sup> Pigment deposits in the cornea were noted in many individuals.

Fundus photographs were reviewed for all participants, and the optic disc was grossly normal in all except individual 4 who had a large cup-to-disc ratio (0.8) (Table). Additional anomalies included peripapillary atrophy in individual 13





**FIGURE 3.** Foveal hypoplasia in *PITX2*-ARS. (A–E) OCT images from 15-year-old female with no vision-limiting pathology (A, control) and individuals 4 (B), 6 (C), 8 (D), and 13 (E) displaying grade 1b foveal hypoplasia. The *arrow* in A indicates the location of the fovea. Scale bar: 100  $\mu$ m in each direction for all images.

and subretinal deposits of unknown origin along the fovea in individual 5; OCT analysis showed irregularities in the inner and outer segment junction in these regions (Figs. 2D–2F). Three images (from individuals 4, 8, and 11) were blurred and unable to be assessed outside the optic disc.

### Altered Foveal Morphology in *PITX2*-ARS but not *FOXC1*-ARS

None of the three individuals with *FOXC1*-ARS had foveal hypoplasia, whereas four of the 10 individuals with *PITX2*-ARS (individuals 4, 6, 8, and 13) had grade 1b foveal hypoplasia (as defined by the Leicester Grading Scale<sup>21</sup>) (Fig. 3). A history of premature birth was reported for only one individual in the cohort, who had an estimated gestational age of 25 weeks in addition to foveal hypoplasia (individual 8).

Because foveal hypoplasia has been associated with variants in multiple genes (e.g., *TYR*, *OCA2*, *SLC38A8*,

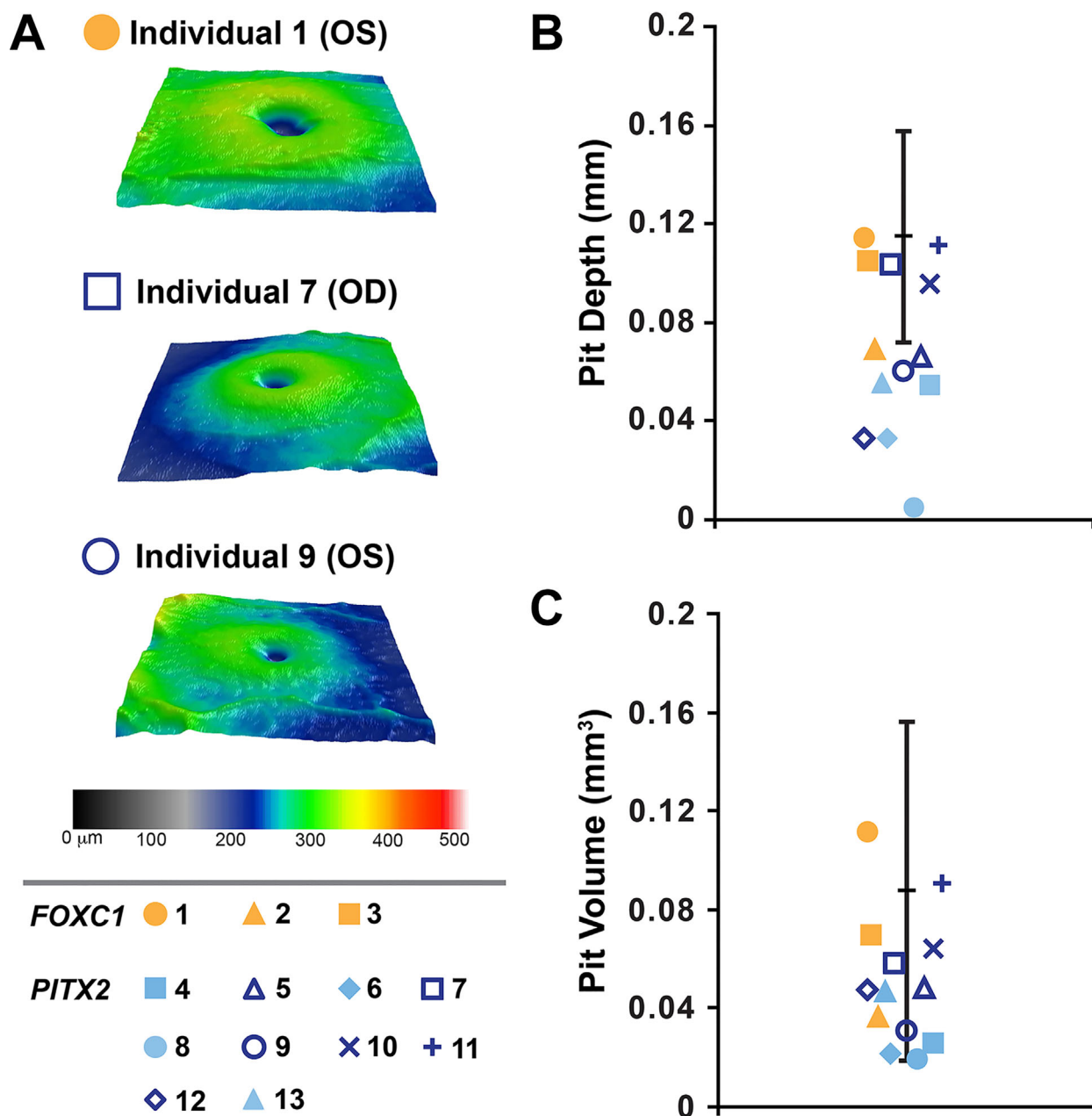
*ATF6*, *PAX6*), we analyzed relevant genetic data in our cohort. No pathogenic or likely pathogenic variants were detected in any genes. Heterozygous rare variants in *OCA2* were identified in two of the individuals with foveal hypoplasia: NM\_000275.3:c.1465A>G p.Asn489Asp and NM\_000275.2:c.1966C>G p.Leu656Val, both classified as variants of uncertain significance with no second allele detected (Supplementary Table S1). No rare coding variants were identified in *TYR*, *SLC38A8*, *ATF6*, or *PAX6*. Further analyses of the common *TYR* hypomorphic alleles (p.S192Y and p.R402Q) previously associated with abnormal foveal morphology<sup>19,20</sup> identified seven individuals homozygous for one of the two alleles but no individuals homozygous for both variants in our cohort (Supplementary Table S1). Comparison of the rate of homozygotes for both hypomorphic alleles (p.S192Y and p.R402Q) in the *PITX2* cohort versus the control cohort did not identify a significant difference between the two populations ( $P = 0.24$  and  $P = 0.14$ , respectively, Fisher's exact test). Comparison of the *TYR* genotypes of individuals with and without foveal hypoplasia within our *PITX2* cohort did not identify any significant enrichment for homozygotes for either hypomorphic allele ( $P = 0.13$  and  $P > 0.99$ , respectively, Fisher's exact test). Comparison of the *TYR* genotypes between the two genetic groups (*FOXC1* vs. *PITX2*) similarly did not identify any significant enrichment for homozygotes for either variant ( $P > 0.99$  and  $P = 0.20$ , respectively, Fisher's exact test).

Foveal pit depth and volume were calculated using OCT data on total retinal thickness (internal limiting membrane to the retinal pigment epithelium). In comparing foveal morphology data between eyes of the same individual, there was no significant interocular difference in either depth ( $P = 0.25$ , Wilcoxon matched-pairs signed rank test) or volume ( $P = 0.26$ , paired *t*-test) for the three individuals with *FOXC1*-ARS. Similar symmetry was observed in the individuals with *PITX2*-ARS for both depth ( $P = 0.20$ , paired *t*-test) and volume ( $P = 0.14$ , paired *t*-test). We thus averaged the values between eyes to generate a single per-individual value for comparison with normal controls.

Average ( $\pm$  SD) foveal pit depths were  $0.096 \pm 0.024$  mm in the individuals with *FOXC1*-ARS,  $0.061 \pm 0.034$  mm in the individuals with *PITX2*-ARS, and  $0.115 \pm 0.022$  mm in the 137 normal controls. Testing with a one-way ANOVA and Dunnett's multiple comparisons post hoc testing revealed that the *PITX2*-ARS foveal pits were significantly shallower than normal ( $P < 0.0001$ ), but the *FOXC1*-ARS foveal pits were not significantly different from normal ( $P = 0.26$ ). This difference remained significant even after excluding the four individuals with foveal hypoplasia ( $P = 0.0001$ ).

Average ( $\pm$  SD) foveal pit volumes were  $0.072 \pm 0.038$  mm<sup>3</sup> in the individuals with *FOXC1*-ARS,  $0.045 \pm 0.022$  mm<sup>3</sup> in the individuals with *PITX2*-ARS, and  $0.088 \pm 0.034$  mm<sup>3</sup> in the 137 normal controls. Kruskal–Wallis and Dunn's multiple comparisons post hoc testing revealed that the *PITX2*-ARS foveal pits were significantly smaller than normal ( $P = 0.0002$ ), but the *FOXC1*-ARS foveal pits were not significantly different from normal ( $P = 0.85$ ). Again, this difference remained significant ( $P = 0.024$ ) even after excluding the four individuals with foveal hypoplasia (Fig. 4).

OCTA was successfully completed on one eye each from two individuals with *FOXC1*-ARS and nine individuals with *PITX2*-ARS, including three of the individuals with foveal hypoplasia (Fig. 5, Supplementary Fig. S1). The two individuals with *FOXC1*-ARS had FAZ areas of  $0.09$  mm<sup>2</sup> and



**FIGURE 4.** Foveal comparisons. (A). Foveal pit images showing variability among non-hypoplastic foveal pits. Largest (individual 1), intermediate (individual 7), and smallest (individual 9) non-hypoplastic pit images were chosen. (B, C) Plotting of foveal pit depth and volume demonstrates a statistically significant decrease in both metrics compared to normal controls for *PITX2*-ARS (blue symbols) but not *FOXC1*-ARS (yellow symbols). The normal range from control individuals is indicated by black lines in B and C. The symbol assigned to each individual is indicated in the lower left corner.

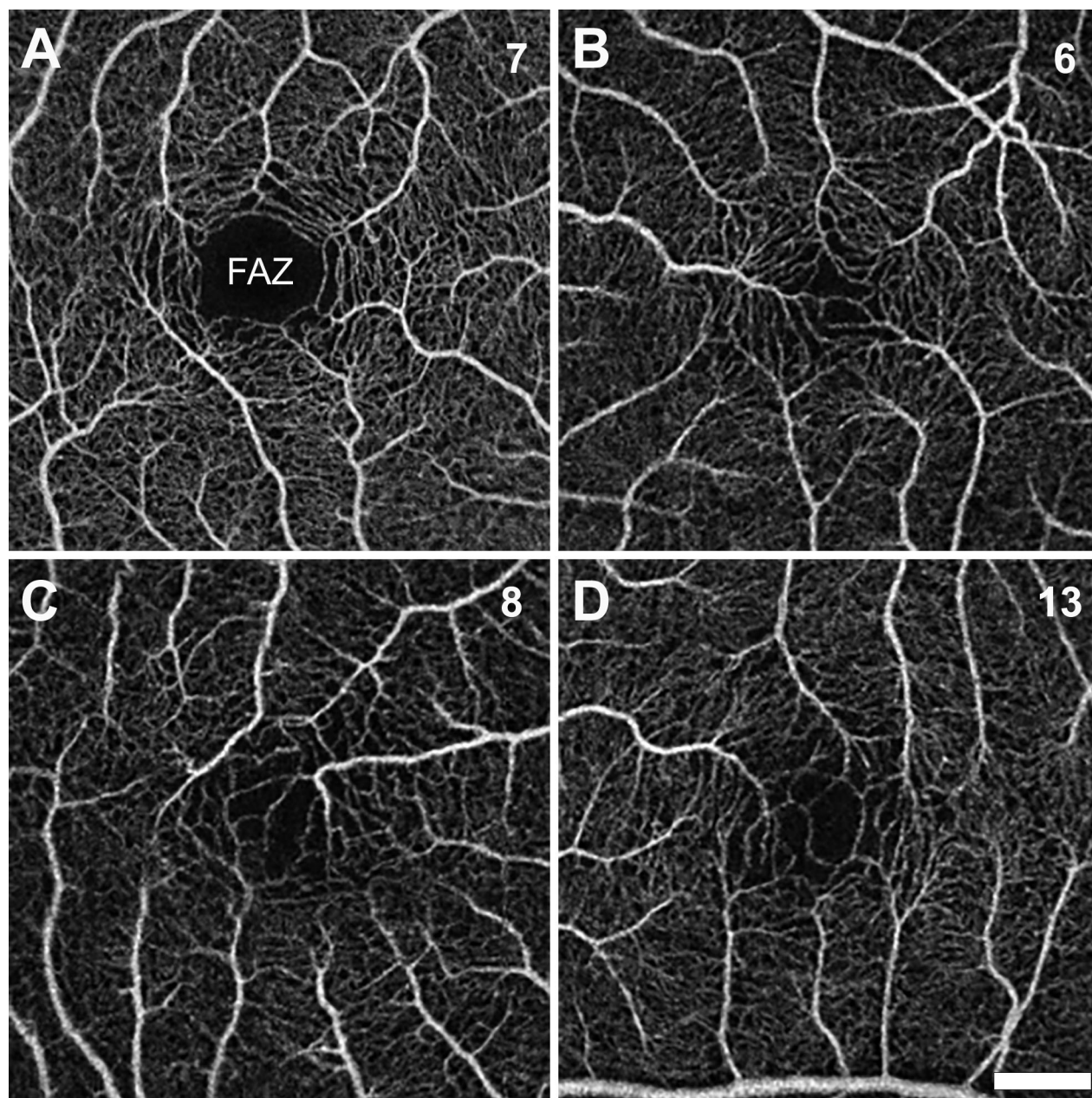
0.28 mm<sup>2</sup>, and the *PITX2*-ARS group had an average (± SD) FAZ area of 0.25 ± 0.17 mm<sup>2</sup>. The overall ARS group average (± SD) FAZ area was 0.24 ± 0.17 mm<sup>2</sup>. Although the mean FAZ area was lower in the individuals with ARS compared to the normative controls (0.26 ± 0.10 mm<sup>2</sup>), this difference was not significant (*P* = 0.53, unpaired *t*-test). However, the FAZ area was positively correlated with foveal pit volume (Spearman *r* = 0.85; *P* = 0.0015), as well as depth (Spearman *r* = 0.65; *P* = 0.034), in our ARS cohort, which has been reported in individuals with normal vision.<sup>38</sup> Consistent with this, all individuals with foveal hypoplasia and OCTA data had a fragmented FAZ; one individual without

foveal hypoplasia also displayed a fragmented FAZ (individual 2). Fragmented FAZs have been reported in individuals with and without foveal hypoplasia,<sup>27</sup> suggesting some independence in the mechanisms establishing these two features of the human fovea.<sup>39</sup>

#### Variably Altered GCL/IPL Thickness

Across the three individuals with *FOXC1*-ARS, the mean (± SD) average GCL/IPL thickness in all six eyes was 74.00 ± 11.04 μm. Across 137 control eyes, the mean (± SD) average GCL/IPL thickness was 83.25 ± 7.40 μm. With the control





**FIGURE 5.** OCT Angiography. (A–D) OCTA images demonstrate normal FAZ in individual 7 and a fragmented FAZ in individuals 6, 8, and 13, all of whom showed a foveal hypoplasia phenotype (Figure 3). Scale bar: 500  $\mu$ m (all images).

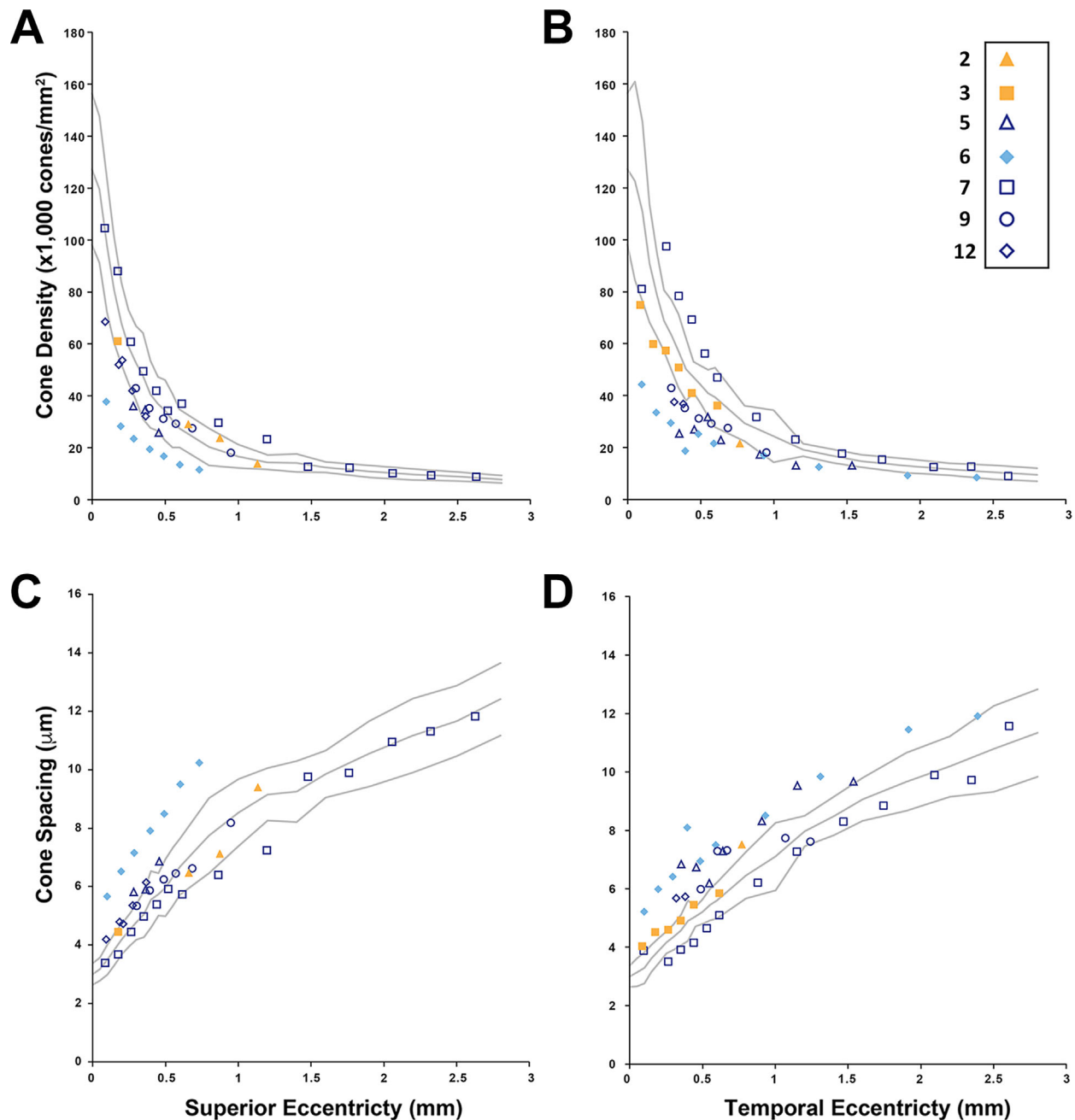
data, we employed a Z-score analysis to determine which eyes had abnormal GCL/IPL thickness values ( $\alpha < 0.05$ ). Diffuse retinal ganglion cell thinning was noted in both eyes of individual 2 with a clinical diagnosis of glaucoma requiring surgery at 13 days of age (Table). Two individuals had normal GCL/IPL thickness (individual 1 without glaucoma and individual 3 with glaucoma controlled with drops only).

Macular cube analysis on OCT was able to be completed on 16 eyes from the 10 individuals with *PITX2*-ARS and showed a mean ( $\pm$  SD) average GCL/IPL thickness of  $68.38 \pm 14.36 \mu$ m. Again, we employed a Z-score analysis to determine which eyes had abnormal GCL/IPL thickness values ( $\alpha < 0.05$ ). Significant retinal ganglion cell thinning was noted in at least one eye in six of 10 individuals (Table); all of these individuals had a clinical diagnosis of glaucoma.

Four individuals did not show a significant deviation from normal (Table); although these individuals also had a clinical diagnosis of glaucoma, three of the four were considered controlled with drops only.

#### Cone Mosaic Results From AOSLO

AOSLO imaging was attempted on all three individuals with *FOXC1*-ARS, with full/partial success in two, followed by cone mosaic analysis on the montage that appeared normal (Fig. 6). Resolution of the central-most foveal cones was successful only in individual 3, who had a peak foveal cone density of  $92,304$  cones/ $\text{mm}^2$ , which is within previously published normative values from multiple studies.<sup>40–43</sup> AOSLO evaluation of the retinal anomalies in individual



**FIGURE 6.** Cone mosaic analysis. Cone density (A, B) and spacing (C, D) were determined from AOSLO images from individuals with *PITX2*-ARS (blue symbols) and *FOXC1*-ARS (yellow symbols) along both the superior (A, C) and temporal (B, D) eccentricity. Only individual 6 (with foveal hypoplasia) had values consistently outside the normal range. The symbol assigned to each individual is indicated and consistent with Figure 4 (but AOSLO data are only available for a subset of participants).

2 showed linear patterns of absent photoreceptors on the confocal modality (Fig. 2C). It was not possible to resolve cells on split-detection AOSLO in this location, so whether these cones were missing, degenerated, or simply had impaired waveguiding is not known.

AOSLO imaging was attempted on all 10 individuals with *PITX2*-ARS, with full/partial success in five. Although some individuals occasionally had cone density values falling outside 2 SD from the normal mean, individual 6 (Fig. 6, blue-filled diamonds) consistently had values outside the expected normal range. Interestingly, this individual also

has foveal hypoplasia; altered cone topography has been reported in some individuals with foveal hypoplasia due to other conditions (e.g., albinism).<sup>22</sup> Resolution of the central-most foveal cones was only successful in individual 7, who had a peak foveal cone density of 131,459 cones/mm<sup>2</sup>, which is consistent with previously published normative values.<sup>40–43</sup> AOSLO evaluation of the retinal anomalies in individual 5 showed multiple dark patches. Again, it was not possible to resolve cells on split-detection AOSLO in this location, so whether these cones were missing, degenerated, or simply had impaired waveguiding is not known.



## DISCUSSION

Slit-lamp evaluation revealed the typical spectrum of ocular anomalies associated with ARS; iris phenotypes were more severe and more asymmetric in individuals with *PITX2*-ARS compared to *FOXC1*-ARS. Interestingly, foveal phenotypes were symmetric, even in eyes with asymmetric anterior segment features. Systematic evaluation of the posterior segment revealed several anomalies. As expected, most of the individuals with a clinical diagnosis of glaucoma, especially those requiring surgical intervention, showed evidence of optic nerve thinning. Average GCL/IPL thickness was lower in the group with *PITX2*-ARS, consistent with the older ages and higher prevalence of glaucoma requiring surgery in this cohort.

Foveal hypoplasia was noted by OCT in 40% (4/10) of the individuals with *PITX2*-ARS but in none of the three with *FOXC1*-ARS in this study. Moreover, the foveal pit was significantly smaller in individuals with *PITX2*-ARS compared to normal controls, even after removing the four individuals with foveal hypoplasia. These findings implicate *PITX2* in the development of the fovea in humans. Foveal hypoplasia is frequently seen in association with *PAX6* variants, another common genetic cause of anterior segment phenotypes such as aniridia, Peters anomaly, and iris hypoplasia,<sup>16</sup> as well as several other genetic factors (*TYR*, *OCA2*, *SLC38A8*, and *ATF6*). No likely pathogenic variants sufficient to provide an alternative explanation for the foveal hypoplasia phenotype were identified in the affected individuals, but some possible risk factors included *OCA2* variants of uncertain significance,<sup>44</sup> premature birth,<sup>45</sup> and homozygosity for *TYR* hypomorphic alleles. However, there was no significant difference in the frequency of *TYR* homozygotes between the *PITX2* and *FOXC1* groups nor between the individuals with foveal hypoplasia and those without, suggesting that the phenotype was specific to *PITX2*. The foveal hypoplasia observed in *PITX2*-ARS is relatively mild (grade 1b) but nonetheless known to be associated with reduced visual acuity<sup>46–48</sup> and thus likely to affect vision in younger children with ARS, with glaucoma becoming a more significant factor later in life. Further evaluation is necessary to confirm this association. Due to the small number of individuals imaged with *FOXC1*-ARS, it is not clear at this time whether this finding is specific to *PITX2* or if our *FOXC1*-ARS cohort was too small to detect a foveal phenotype.

AOSLO imaging was successfully completed in about half of the attempted individuals with ARS (7/13; 54%), but foveal cone imaging was only successful in two (2/13; 23%), which is not unexpected given that the resolution of foveal cones is challenging even in eyes with excellent optical quality.<sup>49</sup> Unsurprisingly, individuals with significant corectopia and those with significant corneal or lens opacities were more likely to be unsuccessful in AOSLO imaging. Axial length was also unable to be measured in participants with significant corectopia using the IOLMaster. Outside of individual 6 (*PITX2*-ARS) with consistently decreased cone density, the AOSLO imaging did not show any significant deviation from the normal optical signature of cone photoreceptors within the retina. In terms of other anomalies, one person with *FOXC1*-ARS showed an unusual pattern of diffuse, linear streaks across the retina with deformities at the inner and outer segment junction in the retinal cross-section, and one individual with *PITX2*-ARS displayed subretinal deposits along the fovea and irregularities in the inner and outer segment junction in these regions. Confo-

cal AOSLO imaging revealed a discontinuous cone mosaic in both of these regions. Several human conditions are associated with abnormalities at the junction between the inner and outer segments of the photoreceptors.<sup>50</sup> At this time, it is not clear whether the observed phenotypes are associated with *FOXC1* and/or *PITX2* deficiencies or represent coincidental findings, although our data would suggest that further examination of additional individuals with ARS is warranted.

Overall, these data suggest a role for *PITX2* in the development of the posterior segment of the eye and specifically in the human fovea, in addition to the anterior segment structures. In humans, foveal development begins early in gestation with the creation of a central rod-free zone and continues throughout gestation with further maturation occurring through the first decade of life.<sup>51,52</sup> The foveal pit specifically initiates prior to 30 weeks' gestation and is thought to require the presence of the FAZ. The size of the foveal pit correlates with the size of the FAZ,<sup>38</sup> so the OCTA results in this cohort are consistent with the foveal phenotypes. Although the fovea is important in visual acuity in primates and some other vertebrates, this structure is not present in commonly used animal models such as mice and zebrafish, which may explain why a role for *PITX2* in foveal development was not identified previously.<sup>51,52</sup> Both ARS genes, *PITX2/pitx2* and *FOXC1/foxc1*, are known to be expressed in the neural-crest- and mesoderm-derived periocular mesenchymal cell populations that contribute to multiple ocular lineages such as the corneal endothelium and stroma, trabecular meshwork, Schlemm's canal, ciliary body muscles, iris stroma, extraocular muscles, sclera, choroid, and hyaloid vasculature (the transient embryonic blood system that maintains the growth and development of the posterior lens, vitreous, and retina).<sup>53,54</sup> Interactions between the retina and other tissues in the posterior region, including the developing choroid and sclera, are believed to be responsible for foveal morphogenesis.<sup>55</sup> Deficiencies in *pitx2* or *foxc1* lead to anterior segment defects as well as highly anomalous hyaloid vasculature with aberrant branching, thinner vessels, and increased vascular permeability in zebrafish.<sup>7,8,56</sup> It is possible that *PITX2* deficiency in the developing hyaloid vascular system, choroid, and/or sclera due to *PITX2* deficiency affect various aspects of human posterior segment development, particularly the foveal avascular zone and foveal pit, thus requiring additional studies into the underlying mechanisms.

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